

The logo for PLATO Technology Summit. The word "PLATO" is written in a large, white, sans-serif font. The letter "A" is replaced by a yellow triangle with a white outline. The letter "O" is replaced by a white circle with a small blue dot in the center. The background is a dark blue cityscape at night with glowing blue lines and dots representing a network or data flow.

PLATO

Technology Summit

Event Program

One Moorgate Place, London

19th January 2023

Plato Technology Summit Sponsors

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Welcome to the inaugural Plato Technology Summit


Today has been designed by the Plato Partnership, a group of 30 leading buy-side and sell-side firms. Our goal is to create a leading financial services technology-focused conference for market participants, based on feedback from our buy-side and sell-side members.

The conference has a unique approach for participants and sponsors that sets it apart from other major industry conferences. Instead of opting for the same buy-side and sell-side panel discussions, we have included the Problem Statement workshops, use-case presentations, and small group demos to ensure that all delegates come away from the Summit with actionable insights and new prospective technology partners

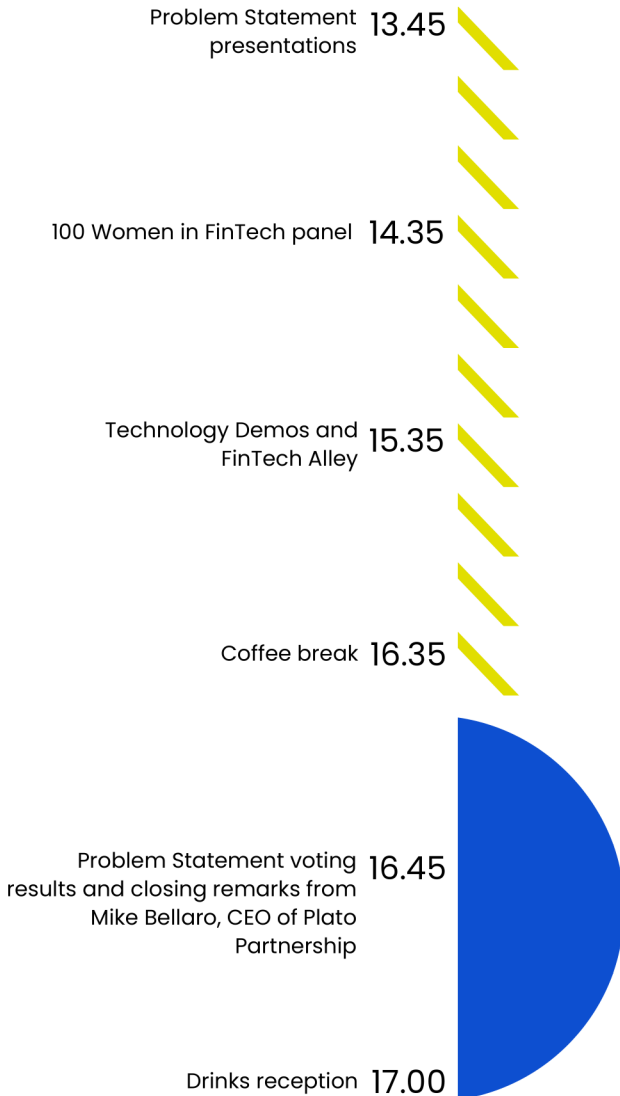
It is invitation-only, with only trading heads, senior traders, market structure professionals, academics, exchange representatives and regulators, as well as delegates from sponsoring Technology and FinTech firms, in attendance.

To ensure an open and collaborative environment for all participants, the conference will operate under the "Chatham House Rule". We look forward to an insightful day of networking and knowledge-sharing.

Morning Agenda

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- 8.30 Event registrations open & breakfast served in Great Hall
 - 9.00 Welcome from Mike Bellaro, CEO of Plato Partnership, and Marc Carolissen, event compere.
 - 9.10 Use Case Sessions from: [Symphony](#), [purestream](#), and [Adaptive](#)
 - 10.00 Technology Demos and FinTech Alley
 - 11.00 Coffee Break
 - 11.15 Use Case Sessions from: [Ediphy](#), [Finsemble](#) and [Imandra](#)
 - 12.00 Problem Statement Debate
 - 12.45 1 hr Lunch break

Afternoon Agenda



Technology Sponsors

Dotted around the edge of the Great Hall are six of the leading Technology firms in financial services. Take advantage of the Technology Demos and FinTech Alley portion of the agenda to meet the companies' representatives and learn more about their services.



Adaptive



Adaptive builds and operates high-performance trading technology solutions for the world's leading brokerages, asset managers and banks. From supporting Open-Source initiatives to bespoke developments, Adaptive enables financial firms to go to market faster, own their bespoke business logic and drive ongoing innovation. Adaptive's technology solutions are powered by Aeron, the global standard in open-source messaging and clustering technology for 24/7 availability, high-throughput, low-latency, fault-tolerant system design. Adaptive has offices in London, New York, Barcelona and Montreal for global reach and support. Adaptive: Trading Technology - Built your way, <https://weareadaptive.com/>



Ediphy's technology delivers future-proof capital markets services; a complete market view via the Mifid II trade data, fully managed access to liquidity pools and sophisticated execution strategies combined with TCA and liquidity analysis designed to deliver improved execution outcomes. Uniquely, Ediphy operates at the intersection of powerful data analytics, FCA regulated execution services and connectivity in the bond and cleared IRS markets. Cloud native, no software installation required and all accessed through a web browser or by API. [Ediphy.io](https://ediphy.io)

Finsemble is a leader in interoperability initiatives and FDC3-workflow solutions across the finance industry. With Finsemble, applications of any type—native, web, in-house, and third-party—can connect and share information, allowing clients to build customized, automation-assisted workflows that free up end users to do their best work. Clients such as Citi, Pictet Asset Management and Charles River Development choose Finsemble for its world-class U.S. based support, no/low code approach, and partnership-level collaboration. Visit finsemble.com for more information.

 I M A N D R A

Imandra has democratized "automated reasoning" - the science of managing software complexity. Within Financial Services, we have built digital twins of exchanges; helped venues build precise digital FIX specs; and brokers to onboard customers quickly and efficiently. We apply mathematical rigour and precision, allowing us to find and fix bugs, edge cases and ambiguity. Imandra brings Formal Verification to finance - the ability to check that a complex system will always work as designed.

The growth in electronic trading has increased the amount of natural liquidity that is being governed by an algorithmic execution strategy. As an unintended consequence, institutional natural liquidity has become latent, unfindable, and uncrossable, because it is trapped in an algo, waiting for future market volume.

This reality has constrained the ability of people and their algo's to differentiate their results and is impacting buyside portfolio performance through extended portfolio implementations.

We are an institutional ATS focused on eliminating these constraints by improving liquidity discovery and natural cross rates amongst algorithmic orders. Our solution creates the new dimension of "liquidity length" to compliment the market's existing "liquidity depth." For more information, please visit www.purestream.tech

SYMPHONY

Symphony is the most secure and compliant markets' infrastructure and technology platform, where solutions are built or integrated to standardize, automate and innovate financial services workflows. The Symphony platform is a vibrant community of over half a million financial professionals from 500+ market participants with a trusted directory. It is powering over 2,000 community built applications and bots. The company was founded in 2014 and has raised \$510 million from institutional investors.

For more information, visit www.symphony.com.

Fintech Alley

Visit FinTech Alley at the Main Reception to meet seven of the most innovative FinTech companies and get a hands-on demonstration of their cutting-edge solutions and services. Meet them during the Technology Demos and Fintech Alley on your agenda.

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Finceler8 is an established fintech sales accelerator with innovative, best in breed fintech clients ranging from early stage to late stage. The Finceler8 focus is to provide fintechs with sales strategy, qualified new business leads and support through the sales cycle until closure and at the same time Finceler8 is proactively providing financial institutions with tailor-made fintech solutions and advice. The three partners and team of consultants that make the Finceler8 team have a combined experience of over 200 years working for and selling into financial institutions globally. Understanding the market and the consumer business is at the core of the Finceler8 function.



3forge was founded in 2010 to deliver enterprise-class software powerful and flexible enough to meet the needs of large financial institutions. With extensive backgrounds in finance, high-frequency trading, big data, and more, we take the solutions and practices we've learned along the way and bring it to the industry at large. Over 20% of US equities flows through our software every day. Our clients include multiple tier-one banks, mobile application firms, hedge funds, registered investment advisors, and broker-dealers.



Exegy is a global leader in low-latency market data, execution technology, trading platforms and predictive signals. Backed by Marlin Equity Partners, Exegy serves as a strategic partner to the complete ecosystem of buy side, sell side, exchanges, and ISV/technology firms globally. At the heart of Exegy solutions are fully managed, high-performance, and scalable solutions powered by purpose-built appliances, hardware acceleration, artificial intelligence, enterprise software, and service automation technologies derived from an extensive patent portfolio. Visit our website at www.exegy.com for more information.



BMLL Technologies is the leading, independent provider of harmonised, Level 3 historical data and analytics to the world's most sophisticated capital market participants. BMLL offers banks, brokers, asset managers, hedge funds and global exchange groups immediate and flexible access to the most granular Level 3, T+1 order book data and advanced analytics, enabling them to accelerate research, optimise trading strategies and generate alpha at unparalleled speed and scale. For more information, please visit www.bmlitech.com



At FINBOURNE, we offer a new and interoperable approach to data management, achieving value within a faster time to market. Our Modern Financial Data Stack empowers investment data processes and drives operational growth for emerging hedge funds to established global institutions. Leveraging SaaS technology and a secure cloud infrastructure, we liberate, simplify and connect data, making it accessible and usable across the investment chain. Ultimately, we restore control and confidence, so you know what you own and how much it's worth at any given point in time. For more information on the Consolidated Tape, please contact Neil Ryan and Chris Brook at CTP@finbourne.com or visit www.finbourne.com



ipushpull is a leading capital market FinTech, specialising in real-time data sharing and workflow automation. Used across sell-side, buy-side, and front-to-back office.

We make sharing seamless by eliminating silos of data sitting in emails, spreadsheets or file shares and integrate that data into structured workflows.

The platform handles static, live, and streaming data with APIs, integrations, and connectors into many data platforms, applications, and services.

Data drives financial markets however data sharing has hardly changed for decades. Our goal is to improve human decision-making by reducing the heavy lifting around complex manual repetitive tasks, allowing people to focus on value-add activities.



ORTEX is a revolutionary global stock analytics platform which identifies and analyses relevant and important data for your stocks. Whatever your role within equities, this data and analysis will undoubtedly provide unique opportunities, keep you better informed and help maximise performance and profitability. For more information, please visit <https://public.ortex.com/>

Quantmatix provides a technology solution to market problems. Quantmatix is a global multi-asset, trade timing, asset allocation and risk analytics tool that acts like market GPS to empower professional investors, traders and risk managers to navigate and shape portfolio's and trade with increased confidence. The Data Engine distills thousands of data points to produce directional and scenario risk assessment of individual instruments with our proprietary scoring system combined with trade signals, market levels and powerful thematic idea generation. Quantmatix comprises vastly experienced investment and technology professionals with over 120 years working in Portfolio Management, Equity Sales and Research, Sales Trading & Trading and Technology Solutions serving Asset managers, Hedge funds and Investment Banks.

100WFinTech Panel

100 Women In Finance and Plato Partnership have brought together a panel of expert thought leaders to discuss current challenges facing the financial services sector and explore potential solutions.

Moderated by Kenzy Goodwin

Kenzy Goodwin is a Partner at Finceler8, an establishment with a mission to accelerate the engagement of fintech firms within financial markets.

Here she has succeeded in developing business strategies for fintechs as well as outsourced sales. Her passion is to help entrepreneurs grow and shape their companies.

Kenzy held the post of Senior Equity Trader at BlackRock for twenty years where she traded pan-European markets for all equity investment products. During that time she was also viewed as an ambassador of the BlackRock culture and she managed several internal personal development programmes.

Kenzy is Chair of 100WFinTech Global Visibility Initiative



Kenzy Goodwin
Finceler8



Lavinia D. Osbourne
WIBT

Lavinia D. Osbourne is an established agent for change in blockchain, and an entrepreneur at the vanguard of NFT development and futurist for the emergent metaverse. Through her work as Founder of WiBT she has won and been nominated for accolades such as the National Diversity Award for Community Organisation and Best Diversity Resource/Initiative Award; whilst as an individual she is one of Wirex's Rising Women in Crypto 2021, a TechWomen100 winner 2020, a 2021 LinkedIn Top Voice in Tech & Innovation, and Cog X Gender Leadership Winner 2022.

Combining her passionate belief in blockchain's egalitarian ideals with a commitment to utilising her knowledge, connections and entrepreneurial spirit to bring opportunity for all, Lavinia works tirelessly to break down barriers by raising underrepresented voices.

Helen Disney is a Board Member and Director of Blockchain and Digital Assets at The Realization Group, a consultancy-led marketing agency operating at the intersection of traditional and decentralised finance.

She was recently a finalist for Industry Personality of the year in CryptoAM's annual awards and has been ranked among the 100 Most Inspirational Women in Blockchain. She founded her education and events platform, Unblocked, in 2017 to educate senior business leaders and policymakers about the possibilities offered by cryptocurrencies and blockchain.



Helen Disney
The Realization Group

Monica is a seasoned financial services industry executive with more than 20 years' experience in capital markets both on the buy and sell side as well as with service providers. With a deep understanding of market structure and technology underpinned by years of delivering cutting edge technology solutions for capital markets participants, Monica has a proven track record assisting clients in leveraging the emerging technology and industry trends driving change. She's often quoted in the press including WSJ, FT, Financial News, Forbes, CNBC etc. Prior to joining Celent Monica was Head of Fintech Research at TABB Group and held senior management and operations positions with ABN Amro, Price Waterhouse, RiskWaters, Jordan & Jordan and J&W Seligman Investment Management.



Monica Summerville
Celent



Jennifer Keser
Tradeweb

Jennifer Keser is Head of Market Structure & Regulation, Europe & Asia at Tradeweb.

In her role, Jenn advises Tradeweb on the regulatory trajectory affecting the industry, and also proactively identifies commercial opportunities, business risk and operational requirements associated with regulatory reform and their impact on market structure.

Jenn is a member of ESMA's Secondary Markets Standing Committee Consultative Working Group and she is also a member of the FCA's Secondary Markets Advisory Committee, and has served as chair of EDMA Europe (Electronic Debt Markets Association Europe).

Prior to joining Tradeweb, Jenn worked in government affairs and public policy at Credit Suisse, J.P. Morgan and Goldman Sachs. She holds a bi-lingual double Masters in International Affairs from the London School of Economics and Sciences Po Paris, and completed her B.A. in political science at Wellesley College.

Jie is a seasoned investment banker with over 18 years of experience covering financial institutions in Europe and Asia. She has worked on a range of complex and cross-border transactions helping clients with M&A, and public and private capital raising. Over the last three years, Jie has been deeply involved in digital assets, assisting clients ranging from licensed digital asset brokers to web3 startups on corporate finance and consulting activities.



Jie Latham
O1 Capital

Lucy Taylor is a Senior Sales Manager within Institutional Sales, Trading and Prime at Coinbase.

Prior to joining Coinbase, Lucy spent five years as a senior Salesperson at HSBC Global Banking and Markets, in London, leading FX Prime Brokerage Sales for Switzerland and a focus on European Hedge Fund clients.

Lucy also spent a decade at UBS Investment Bank in Zurich, Switzerland, prior to joining HSBC, as a Director in Prime Services Sales specialising in Hedge Funds, Family Offices. Private Banks and Asset Manager clients.

Lucy is passionate about crypto and helping build a new financial system for the world.



Lucy Taylor
Coinbase

In Partnership With



100WFinTech is a 100WF global visibility initiative that spotlights senior women in FinTech. 100WFinTech enables collaboration, ideas exchange, best practice sharing, experience leverage and strong relationships across fintech's subsectors.

100 Women in Finance seeks to address the under-representation of women in finance, fintech, and investment roles, evolving the public perception of what an expert in these fields looks like. We believe that by increasing the visibility of today's female finance professionals, we are also motivating the next generation of talent to aspire to these roles.

Sponsored by



Tradeweb

Tradeweb Markets Inc. (Nasdaq: TW) is a leading, global operator of electronic marketplaces for rates, credit, equities and money markets. Founded in 1996, Tradeweb provides access to markets, data and analytics, electronic trading, straight-through-processing and reporting for more than 40 products to clients in the institutional, wholesale and retail markets. Advanced technologies developed by Tradeweb enhance price discovery, order execution and trade workflows while allowing for greater scale and helping to reduce risks in client trading operations. Tradeweb serves approximately 2,500 clients in more than 65 countries. On average, Tradeweb facilitated more than \$1.0 trillion in notional value traded per day over the past four quarters.

Problem Statement Solutions Worksheet

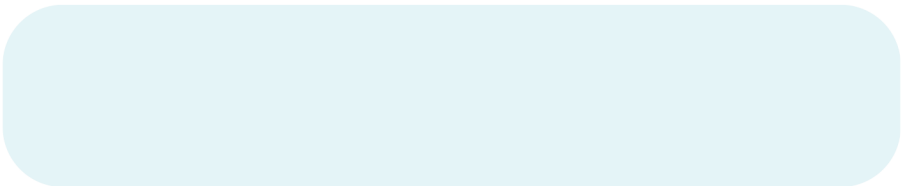
The Problem Statement Solutions are designed to give our attendees the opportunity to shape the future of Plato.

We have split the room into eight Problem Statement Zones. Each zone has been allocated at least one moderator from our sponsors and a Problem Statement which will be given to you by your moderator.

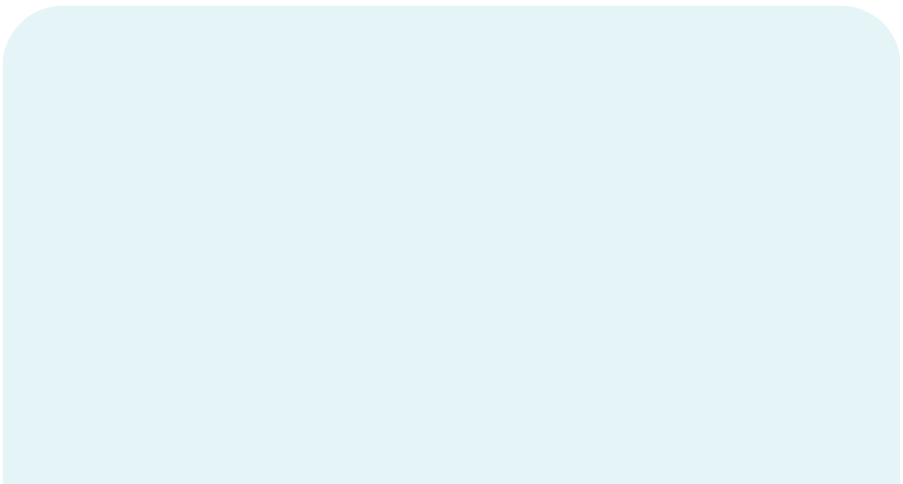
You will have 45 minutes before lunch to debate the Problem Statement and try to find a Solution – or how we can get to a solution. After lunch, your moderator will have five minutes to present your findings to the whole audience.

The audience will then be invited to participate in electronic voting (details on the next page) and the favourite Solutions will be taken forward to the Plato Partnership Board as future initiatives.

Your Problem Statement

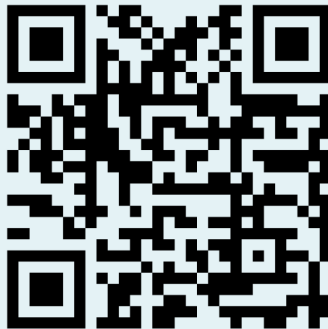


Problem Statement Debate



Vevox electronic voting

- To join the electronic voting, either scan the QR code, or:
- Go to vevox.app on your smartphone device
- Type 127-110-828 where it asks you to enter your session ID
- Enter your name and press 'Save'
- You're in!



P L A T O

Plato Partnership is a not-for-profit member organisation comprising asset managers and broker-dealers who collaborate to bring creative solutions and efficiencies to today's complex equity marketplace.

Members of the Plato Partnership include: Allianz Global Investors, Axa Investment Managers, Baillie Gifford, Bank of America Securities, Barclays, BlackRock, Capital Group, Citi, Credit Suisse, DWS Group, Exane BNP Paribas, Fidelity International, Goldman Sachs, Instinet, Invesco, Janus Henderson, Jefferies, JP Morgan, Kepler Cheuvreux, Legal & General Investment Management, Liontrust, MFS International, Morgan Stanley, Norges Bank Investment Management, Pictet Asset Management, RBC, Redburn, Schroders, Societe Generale, T. Rowe Price, UBS, UBS Asset Management, and Union Investment.



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